Client Categorisation (Retail to Professional) Declaration

I wish to be treated as a Professional Client (Restrictions Apply) by Colmex Pro Ltd ("the Company") (check one of the below):

- ☐ Generally (i.e. for all investment service/transaction or type of transaction/product)
- ☐ For a particular investment service/transaction or type of transaction/product
  Please describe: ……

FITNESS TEST

In order to become a Professional Client you need to pass the Fitness Test. This means that you need to provide Colmex Pro Ltd with evidence on at least two (2) of the following:

Please Indicate (as applicable)	Elective Professional Objective Criteria & Conditions
	You have carried out transactions, in significant size, on the relevant market at an average frequency of 10 per quarter over the previous four quarters
	Size of your financial instrument portfolio defined as including cash deposits and financial instruments exceeds EUR 500,000
	You work or have worked in the financial sector for at least one year in a professional position which requires knowledge of the transactions or services envisaged.

WARNING

Please note that by changing your status from Retail to Professional Client, you lose some of your protection and investor compensation rights in accordance with our Client Categorisation Policy available in our official website: www.colmexpro.com

- You will be given Less Disclosures with regards to the Company/services etc.
- You will not be given warnings regarding the appropriateness of a product/service
- Best execution terms changing
- The Company must inform Retail Clients of material difficulties relevant to the proper carrying out of their order(s) promptly upon becoming aware of the difficulty
- You will not be provided with a summary of the total costs you incur
- You will not be entitled to compensation under Investor Compensation Fund (ICF)
- Changes in title transfer financial collateral arrangements
- Professional Clients and/or Eligible Counterparties will not be provided with NBP

DECLARATION

I, Mr. / Ms. ……. holder of Trading Account(s) with No. …… ……. wish to inform you that I choose to be treated as a Professional Client and declare that I have read and understood the Company's Client categorisation policy and that I am aware of the consequences of losing my protection rights.

……	……	……
Full Name	**Date**	**Signature**

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